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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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| | | | |
|---|----------------------|------------------------|---------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/696122 | |
| | Filing Date | 10/29/2003 | |
| | First Named Inventor | Joseph A. Smith et al. | |
| | Art Unit | 2127 | |
| | Examiner Name | not yet known | |
| Total Number of Pages in This Submission | 83 | Attorney Docket Number | H0004434-5509 |

| ENCLOSURES (Check all that apply) | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | International Search Report (ISR) |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | Cited References - ISR |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | Return Postcard |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | Remarks | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
|--|------------------------------|----------|--------|
| Firm Name | Honeywell International Inc. | | |
| Signature | | | |
| Printed name | Andrew A. Abeyta | | |
| Date | 1-5-06 | Reg. No. | 39,582 |

| CERTIFICATE OF TRANSMISSION/MAILING | | | |
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| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: | | | |
| Signature | | | |
| Typed or printed name | Cynthia Langrall | Date | 1-5-06 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Our Docket No.: H0004434-5509

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Joseph A. Smith et al. EXAMINER: not yet known
SERIAL NO.: 10/696122 GROUP: 2127
FILED: 10/29/2003 CASE NO.: H0004434-5509
ENTITLED: STOCHASTICALLY BASED THREAD BUDGET OVERRUN HANDLING SYSTEM AND METHOD

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. § 1.56, there is hereby provided an Information Disclosure Statement together with a Form PTO-1449, copies of references cited on the Form PTO-1449 and copies of any applicable foreign communications.

1. ☒ No additional fee is required. This Information Disclosure Statement is being submitted in accordance with 37 C.F.R. § 1.97(b) prior to one of the following events, whichever occurred last, including: (1) within three months of the filing date of a national application, (2) within three months of the date of entry of the national stage in an international application, or (3) before the mailing date of the first Office action on the merits.
2. ☐ This Information Disclosure Statement is being submitted in accordance with 37 C.F.R. § 1.97(c) after one of the following events, whichever occurred last, including: (1) three months from the filing date of a national application, (2) three months from the date of entry of the national stage in an international application, or (3) the mailing date of the first Office action on the merits, but prior to the mailing date of either (1) a final action, or (2) a notice of allowance. (*check either item 2a or 2b*)
 - 2a. ☐ No fee is required, because the certification statement in Item 4 below is applicable.
 - 2b. ☐ Please charge the fee under 37 C.F.R. § 1.17(p) of \$230.00 to deposit Account No. 01-1125, because no certification under 37 C.F.R. 1.97(e) has been made.
3. ☐ This Information Disclosure Statement is being submitted in accordance with 37 C.F.R. 1.97(d) after one of the following events, whichever occurred first, the mailing date of either (1) a final action, or (2) a notice of allowance, but before payment of the issue fee. In addition, the certification statement in Item 4 below is applicable. A Petition to the Commissioner is hereby made under 37 C.F.R. § 1.97(d) to request consideration of this Information Disclosure Statement. Please charge the fee under 37 C.F.R. § 1.17(p) of \$180.00 to deposit Account No. 01-1125 for the petition.

4. _____ Certification Statement (*applicable if Item 2a or Item 3 is checked*)

(*check either Item 4 or 4b*)

4a. _____ In accordance with 37 C.F.R. §1.97(e)(1), it is certified that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

4b. _____ In accordance with 37 C.F.R. §1.97(e)(2), it is certified that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known by any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

5. _____ In accordance with 37 C.F.R. §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language reference is: (*check item 5a, 5b or 5c*)

5a. _____ satisfied because all non-English language references were cited on the enclosed English language copy of a search report or office action from a counterpart foreign or PCT International application indicating the degree of relevance found by the foreign office. See U.S. Patent & Trademarks Office's authorization in the Federal Register, Vol. 57, No. 12, January 17, 1992, at page 2031 (Reply to Comment 68).

5b. _____ set forth in the application.

5c. _____ enclosed as an attachment hereto.

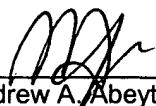
6. X No admission is made that the information cited in this Statement is, or is considered to be, prior art, material to patentability or a representation that a search has been made (other than a search report of a foreign counterpart application or PCT international search report if submitted herewith). 37 C.F.R. §1.97(g) and (h).

7. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 01-1125. A duplicate copy of this sheet is attached.

Respectfully Submitted,

Please forward all correspondence to:
Honeywell International, Inc
Law Dept. AB2
PO Box 2245
Morristown, NJ 07962-9806

By: _____

 1/5/06
Andrew A. Abeyta
Attorney for Applicants
Registration No. 39,582
Honeywell International, Inc.
Phone: 602-436-1981
Fax: 602-436-3185

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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|------------------------|------------------------|
| Application Number | 10/696122 |
| Filing Date | 10/29/2003 |
| First Named Inventor | Joseph A. Smith et al. |
| Art Unit | 2127 |
| Examiner Name | not yet known |
| Attorney Docket Number | H0004434-5509 |

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| Sheet | 1 | of | 2 |
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

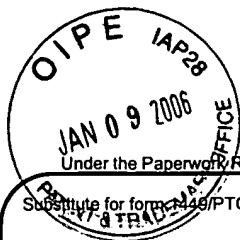
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
|-----------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | |
| | | WO02/054238A | 07-11-2002 | Honeywell Int'l Inc. | | |
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| Examiner Signature | | Date Considered | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (08-03)

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Substitute for form PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|------------------------|------------------------|
| Application Number | 10/696122 |
| Filing Date | 10/29/2003 |
| First Named Inventor | Joseph A. Smith et al. |
| Art Unit | 2127 |
| Examiner Name | not yet known |
| Attorney Docket Number | H0004434-5509 |

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-----------------------|--------------------------|---|----------------|
| | | PCT International Search Report: PCT/US2004/035835, Applicant Reference No. H0004434-5509, 7 October 2005, EP International Search Authority, 6 pages. | |
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| Examiner Signature | | Date Considered | |
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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